

# Declaration, Power of Attorney

Page 1 of 4

0050/048644

We (I), the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Hydrogenation of carboxylic acids or anhydrides or esters thereof  
to give alcohols

the specification of which

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and amended on \_\_\_\_\_.

☒ was filed as PCT international application

Number PCT/EP 98/07900

on 04/12/1998

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)–(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
19756171.3	Germany	17 December 1997	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

We (I) hereby claim the benefit under Title 35, United States Codes, § 119(e) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)
_____	_____
(Application Number)	(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status (pending, patented, abandoned)
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

And we (I) hereby appoint **Messrs. HERBERT B. KEIL**, Registration Number 18,967; and **RUSSEL E. WEINKAUF**, Registration Number 18,495; the address of both being Messrs. Keil & Weinkauff, 1101 Connecticut Ave., N.W., Washington, D.C. 20036 (telephone 202-659-0100), our attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to sign the drawings, to receive the patent, and to transact all business in the Patent Office connected therewith.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

*Roman Dostalek*  
NAME OF INVENTOR

*Roman Dostalek*  
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Signature of Inventor

Date 07/01/1999

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*67354 Römerberg*  
*Germany*  
*Citizen of: Germany*  
Post Office Address: same as residence

*Rolf*  
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Signature of Inventor

*Rolf Hartmuth Fischer*  
NAME OF INVENTOR

*Rolf Fischer*  
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Signature of Inventor

Date 07/01/1999

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*Thomas Krug*  
NAME OF INVENTOR

*Thomas Krug*  
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Date 07/01/1999

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*Germany*  
*Citizen of: Germany*  
Post Office Address: same as residence

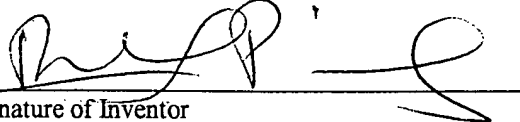
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Date 07/01/1999

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*Rolf Pinkos*  
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Signature of Inventor

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Signature of Inventor

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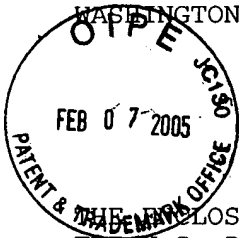
JULY 31, 2000

PTAS

KEIL & WEINKAUF  
HERBERT B. KEIL  
1101 CONNECTICUT AVE. N.W.  
WASHINGTON, D.C. 20036



\*101376212A\*



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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

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NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
DOSTALEK, ROMAN

DOC DATE: 07/01/1999

ASSIGNOR:  
HARTMUTH, ROLF

DOC DATE: 07/01/1999

ASSIGNOR:  
KRUG, THOMAS

DOC DATE: 07/01/1999

ASSIGNOR:  
PAUL, AXEL

DOC DATE: 07/01/1999

ASSIGNOR:  
PINKOS, ROLF

DOC DATE: 07/01/1999

ASSIGNOR:  
STEIN, FRANK

DOC DATE: 07/01/1999

ASSIGNEE:  
BASF AKTIENGESSELLSCHAFT  
67056 LUDWIGSHAFEN, FED REP GERMANY

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06-08-2000

09/554373



1 PCT/PTO 11 MAY 2000

101376212

To the Honorable Commissioner of Patents and Trademarks:  
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1. Name of conveying party(ies)  
Roman DOSTALEK, Rolf HARTMUTH, Thomas KRUG,  
Axel PAUL, Rolf PINKOS, Frank STEIN

Additional name(s) of conveying party(ies)  
attached / Yes /X/No

3. Nature of conveyance:

/x/ Assignment // Merger  
// Security Agreement // Change of Name

Execution Date: 7/1/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application,  
the execution date of the application is:

A. Patent Application No.(s)

2. Name and address of receiving party(ies)

BASF Aktiengesellschaft  
Street Address: 67056 Ludwigshafen  
Germany

City: State: ZIP

Additional name(s) & address(es) attached?  
// Yes /x/ No

MAY 11 2000

B. Patent No.(s)

09/554373

Additional numbers attached? // Yes /x/ No

5. Name and address of party to whom  
correspondence concerning document should  
be mailed:

Name: Herbert B. Keil  
Internal Address:

Street Address: Keil & Weinkauff  
1101 Connecticut Ave. N.W.  
City: Washington State: D.C ZIP: 20036

6. Total number of applications and  
and patents involved: / 1 /

7. Total Fee(37 CFR 3.41).... \$ 40.00  
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8. Deposit Account 11-0345 if fee is  
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attached copy is a true copy of the original document.

Herbert B. Keil  
Name of Person Signing

Signature

Date

Total number of pages

## Assignment of Application

0050/048644

## WHEREAS, I (WE)

Roman Dostalek, Viehtrifistr. 94, 67354 Römerberg, Germany

Citizen of Germany

Rolf Hartmuth Fischer, Bergstr.98, 69121 Heidelberg, Germany

Citizen of Germany

Thomas Krug, Nievergoltstr.23, 67549 Worms, Germany

Citizen of Germany

Axel Paul, Biedensandstr.52a, 68623 Lampertheim, Germany

Citizen of Germany

Rolf Pinkos, Birkental 3a, 67098 Bad Dürkheim, Germany

Citizen of Germany

Frank Stein, Seebacher Str.37, 67098 Bad Dürkheim, Germany

Citizen of Germany

respectively, have invented certain new and useful improvements in

Hydrogenation of carboxylic acids or anhydrides or esters thereof  
to give alcohols

for which an application for Letters Patent was executed on

(Application No.

, filed

), and

WHEREAS, BASF Aktiengesellschaft (hereinafter referred to as "ASSIGNEE"), having a place of business at 67056 Ludwigshafen, Germany, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE(S), the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE(S) as the assignee(s) of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE(S), its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

FURTHER, I (WE) agree that I (WE) will communicate to said ASSIGNEE(S), or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE(S), make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE(S), its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries

The undersigned hereby grant(s) the firm of Messrs. Keil & Weinkauff, 1101 Connecticut Ave., N. W., Washington, D. C. 20036 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 07/01/99



Roman Dostalek

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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)  
Roman DOSTALEK, Rolf HARTMUTH, Thomas KRUG,  
Axel PAUL, Rolf PINKOS, Frank STEIN

Additional name(s) of conveying party(ies)  
attached / / Yes /X/ No

3. Nature of conveyance:

/x/ Assignment / / Merger  
/ / Security Agreement / / Change of Name

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Street Address: 67056 Ludwigshafen  
Germany

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

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/ / Yes /x/ No

**MAY 11 2000**

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Additional numbers attached? / / Yes /x/ No

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original document, no fee should be  
required.

8. Deposit Account 11-0345 if fee is  
deficient or not attached /x/

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.

Herbert B. Keil  
Name of Person Signing

Herbert B. Keil  
Signature

May 10, 2000  
Date

Total number of pages



## Assignment of Application

0050/048644

WHEREAS, I (WE)

Roman Dostalek, Viehtriftstr. 94, 67354 Römerberg, Germany  
Citizen of Germany

Rolf Hartmuth Fischer, Bergstr. 98, 69121 Heidelberg, Germany  
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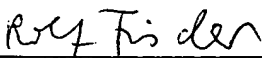
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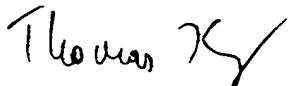
Date: 07/01/99

  
Roman Dostalek

Date: 07/01/99

  
\_\_\_\_\_  
Rolf Hartmuth Fischer

Date: 07/01/99


  
\_\_\_\_\_  
Thomas Krug

Date: 07/01/99

  
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Axel Paul

Date:

07/01/99

  
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Rolf Pinkos

Date:

07/01/99

  
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Frank Stein